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Total Number of Pages in This Submission 37

Application Number	10/764,659
Filing Date	January 26, 2004
First Named Inventor	David N. Ware
Art Unit	3672
Examiner Name	Kenneth Thompson
Attorney Docket Number	2171164-000001

ENCLOSURES (Check all that apply)

- ☐ Fee Transmittal Form
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- ☐ Amendment/Reply
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 - ☐ Affidavits/declaration(s)
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- ☐ Information Disclosure Statement
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Fee for \$250.00 for Appeal Brief is attached.
If missing or incorrect please charge Deposit Acc. No.: 11-0553

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Baker, Donelson, Bearman, Caldwell & Berkowitz PC		
Signature			
Printed name	Dorian B. Kennedy		
Date	July 28, 2006	Reg. No.	36,840

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)	
David N. Ware)	Group Art Unit: 3672
Serial No. 10/764,659)	Examiner: Thompson, Kenneth
Filed: January 26, 2004)	
For: GROUND DRILLING TOOL)	

APPEAL BRIEF

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)	
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David N. Ware)	Group Art Unit: 3672
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APPEAL BRIEF

I. INTRODUCTION

This is an appeal from the decision mailed March 6, 2006 of the Patent Examiner, Group Art Unit 3672, finally rejecting claims 1-8.

II. REAL PARTY IN INTEREST

The Applicant is the real party in interest.

III. RELATED APPEALS AND INTERFERENCES

None.

IV. STATUS OF THE CLAIMS

Claims 1-8 stand rejected by the final action mailed March 6, 2006. Claims 1-8 are pending. Applicant hereby appeals the final rejection of claims 1-8.

V. STATUS OF AMENDMENTS

None.

VI. SUMMARY OF CLAIMED SUBJECT MATTER

Applicant's claim 1 claims a ground drilling tool (page 4, lines 18-22, Fig. 3, ref. 20) for use in conjunction with a length of drilling pipe (page 4, lines 2-4, Fig. 1, ref. 12), the drilling tool comprises a hammer (page 4, line 8-12, Fig. 2, ref. 16) having a lower end and an upper end adapted to be coupled to a length of drilling pipe through a back head (page 4, lines 6-7, Fig. 2, ref. 14). The tool also includes a drill cutting bit (page 4, lines 7-8, Fig. 2, ref. 15) coupled to the lower end of the hammer and a back bit (page 4, line 18-20, Fig. 3, ref. 20) extending from the back head. The back bit has a plurality of upwardly extending cutting teeth (page 4, lines 22-24, Fig. 3, ref. 22). With this construction, the drill cutting bit creates a bore in the ground as it is moved downwardly and the back bit recuts the bore as it is moved upwardly should debris fall within the bore.

Applicants claim 3 claims a in combination with ground drilling equipment (page 4, lines 18-22, Fig. 3, ref. 20) including a length of drilling pipe (page 4, lines 2-4, Fig. 1, ref. 12), a hammer (page 4, line 8-12, Fig. 2, ref. 16) and a downward cutting bit (page 4, lines 7-8, Fig. 2, ref. 15). The improvement comprises a back bit (page 4, line 18-20, Fig. 3, ref. 20) coupled to the hammer, the back bit having a plurality of upwardly extending cutting teeth (page 4, lines 22-24, Fig. 3, ref. 22). With this construction, the drill cutting bit creates a bore in the ground as it is moved downwardly and the back bit recuts the bore as it is moved upwardly should debris fall within the bore.

Applicant's claim 6 claims a ground drilling tool (page 4, lines 18-22, Fig. 3, ref. 20) for use in conjunction with a length of drilling pipe (page 4, lines 2-4, Fig. 1, ref. 12) and a hammer (page 4, line 8-12, Fig. 2, ref. 16) having a cutting

bit (page 4, lines 7-8, Fig. 2, ref. 15) at one end. The drilling tool comprises a tubular body (page 4, lines 22-24, Fig. 3, ref. 21) coupled to the hammer opposite the cutting bit and a plurality of upwardly extending earth cutting means (page 4, lines 22-24, Fig. 3, ref. 22). With this construction, the drill cutting bit creates a bore in the ground as it is moved downwardly and the drill tool recuts the bore as it is moved upwardly should debris fall within the bore.

VII. GROUNDS OF REJECTION TO BE REVIEWED ON APPEAL

Claims 1-3 and 5-8 stand rejected under 35 U.S.C. §102 as being anticipated by Pate et al. Claims 1 and 4 stand rejected under 35 U.S.C. §102 as being anticipated by Binkley et al.

VIII. ARGUMENT

(A) Claim 1

Applicant's invention of claim 1 defines a ground drilling tool for use in conjunction with a length of drilling pipe, the drilling tool comprises a hammer having a lower end and an upper end adapted to be coupled to a length of drilling pipe through a back head. The tool also includes a drill cutting bit coupled to the lower end of the hammer and a back bit extending from the back head. The back bit has a plurality of upwardly extending cutting teeth. With this construction, the drill cutting bit creates a bore in the ground as it is moved downwardly and the back bit recuts the bore as it is moved upwardly should debris fall within the bore.

In essence, the examiner contends that Applicant's invention is shown by both the Pate et al. and the Binkley et al. patents. Furthermore, the examiner has taken the position that the Pate et al. patent shows a hammer because "Pate et al. includes impacting shoulders (15,26) creating a hammer or jarring action." Applicant respectfully disagrees with this assertion. A hammer

is a very specific type of drilling apparatus which is pneumatically driven. The hammer is shown in Applicant's patent in Fig. 2 by reference number 16. A drilling hammer imparts a continuous series of impacting forces upon a drill bit. The examiner has erroneously equated such an impact device (hammer) with the fixed face (26) of ribs 32 and the horizontal shoulder (15). These stationary flanges should not be rendered equivalent to a highly specialized impacting device.

Similarly, the examiner has stated "Binkley discloses similar action in the passage cited (col. 2, lines 22- 26).". Again, Applicant respectfully submits that the passage does not reference a hammer and instead merely states that the force of the drill is placed on the drill bit, as with any conventionally known drill/drill bit.

Applicant respectfully submits that Binkley et al. shows a cutting bit, a device very different from a hammer. In fact, Applicant's specification specifically points out that a cutting bit is coupled to the hammer, i.e., they are two separate and distinct devices. Clearly, as neither of the cited references includes a hammer at all, they cannot anticipate Applicant's claimed invention.

Applicant's claim 1 also states that a drill cutting bit is coupled to the lower end of the hammer. As previously pointed out, since neither reference includes a hammer neither reference can show a drill cutting bit coupled to the lower end of the hammer. As such, these references again do not anticipate Applicant's claimed invention.

Lastly, but most importantly, neither reference shows a back bit extending from a back head mounted to the hammer. As neither reference includes a hammer they cannot possibly show a back head coupled to the hammer. Moreover, neither reference can possibly show a back bit mounted to the back head, as neither reference shows a back head. The unique and important factor of Applicant's construction is that it dislodges a bound, very

expensive hammer which typically occurs because of debris hitting the top ledge formed by the hammer. It is the back bit which recuts debris so that the hammer ledge is allowed to pass through the bore. The "reamer" of Pate et al. is not a hammer, nor a back head, nor a back bit, each having a very specific meaning in the art which does not overlap each other and therefore the "reamer" cannot be utilized to anticipate Applicant's claimed invention. Similarly, the "coupling" of Binkley et al. is not a hammer, nor a back head, nor a back bit, and therefore the "coupling" cannot be utilized to anticipate Applicant's claimed invention.

It should be pointed out that the examiner has taken the position that with regard to Pate et al. this patent shows a "back head (14), a drill cutting bit (attachable at 3) and a back bit (24) having upwardly extending teeth (32) mounted to the back head". Applicant respectfully submits that the examiner has created a typo in identifying back bit as reference number 24, as portion 24 does not include upwardly extending teeth 32. It is believed that the examiner meant to recite reference number 14. However, if so, the examiner has improperly doubly included the coupler body (14) as both Applicant's back head and its back bit, which the Applicant has specifically identified as two separately claimed items.

Applicant has disclosed the novel feature of combining a hammer with a back head which includes a back bit. This combination is novel and is not shown in any of the references cited by the examiner, especially since the cited references do not show even the existence of a hammer, a back head or a back bit. As such, the combination is not anticipated by the cited references and should be awarded patent protection.

B. Claim 3

Claim 3 recites a back bit coupled to a hammer with the back bit having a plurality of upwardly extending cutting teen. As previously submitted the Pate et al. patent does not include a hammer. As such, the Pate el al. patent does not anticipate claim 3.

Furthermore, Applicant specifically recites that the back bit has upwardly extending cutting teeth. The ribs 32 of Pate et al. terminate at ledge 26. These ribs do not extend upwardly, instead they extend outwardly or radially from the tubular body. As the ribs do not extend in the direction specifically recited in Applicant's claim 3, the Pate et al. patent does not anticipate Applicant's claimed invention.

C. Claim 6

Claim 6 recites a drill tool comprising a tubular body coupled to a hammer opposite a cutting bit. The tool has a plurality of upwardly extending earth cutting means. Again, Pate et al. does not include a hammer, as previously argued.

Furthermore, Applicant again specifically recites that the tool has upwardly extending earth cutting means (teeth 22, claim 7). The ribs 32 of Pate et al. terminate at ledge 26. These ribs do not extend upwardly, instead they extend outwardly or radially from the tubular body. As the ribs do not extend in the direction specifically recited in Applicant's claim 6, the Pate et al. patent does not anticipate Applicant's claimed invention.

The requisite fee due upon filing of this brief is attached.
Any additional fee is to be charged to Baker Donelson Bearman
Caldwell & Berkowitz, PC, Deposit Account No. 11-0553.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Dorian B. Kennedy', with a large, sweeping initial 'D'.

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Registration No. 38,840

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Docket No.: 2171164-000001

CLAIMS APPENDIX

1. A ground drilling tool for use in conjunction with a length of drilling pipe, the drilling tool comprising:

a hammer having a lower end and an upper end adapted to be coupled to a length of drilling pipe through a back head;

a drill cutting bit coupled to said lower end of said hammer; and

a back bit extending from said back head, said back bit having a plurality of upwardly extending cutting teeth,

whereby the drill cutting bit creates a bore in the ground as it is moved downwardly and the back bit recuts the bore as it is moved upwardly should debris fall within the bore.

2. The ground drilling tool of claim 1 wherein said back bit is permanently affixed to said back head.

3. In combination with ground drilling equipment including a length of drilling pipe, a hammer and a downward cutting bit, the improvement comprising a back bit coupled to said hammer, said back bit having a plurality of upwardly extending cutting teeth, whereby the drill cutting bit creates a bore in the ground as it is moved downwardly and the back bit recuts the bore as it is moved upwardly should debris fall within the bore.

4. The improvement of claim 3 wherein said back bit is mounted to an upper end of said hammer.

5. The improvement of claim 3 wherein said hammer includes a back head and wherein said back bit is mounted to said back head.

6. A ground drilling tool for use in conjunction with a length of drilling pipe and a hammer having a cutting bit at one end, the drilling tool comprising a tubular body coupled to said hammer opposite the cutting bit, and a plurality of upwardly extending earth cutting means,

whereby the drill cutting bit creates a bore in the ground as it is moved downwardly and the drilling tool recuts the bore as it is moved upwardly should debris ball within the bore.

7. The ground drilling tool of claim 6 wherein said earth cutting means comprises a cutting teeth.

8. The improvement of claim 6 wherein said hammer includes a back head and wherein said back bit is mounted to said back head.

EVIDENCE APPENDIX

None

RELATED PROCEEDINGS APPENDIX

None